

China John  
Form 3  
April 28, 2011

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
China John		(Month/Day/Year)	SVB FINANCIAL GROUP [SIVB]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
		04/21/2011		
3005 TASMAN DRIVE			(Check all applicable)	6. Individual or Joint/Group Filing(Check Applicable Line)
(Street)			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	<input checked="" type="checkbox"/> Form filed by One Reporting Person
SANTA CLARA, CA 95054			<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Head of Rel Mgmt	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	885	D	^
Common Stock	4,744	I	401k/ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option	11/16/2002 <sup>(1)</sup>	11/16/2011	Common Stock	1,500	\$ 26	D	Â
Stock Option	04/17/2003 <sup>(1)</sup>	04/17/2012	Common Stock	2,500	\$ 31.29	D	Â
Stock Option	11/08/2003 <sup>(1)</sup>	11/08/2012	Common Stock	1,500	\$ 17.07	D	Â
Stock Options	11/19/2005 <sup>(1)</sup>	11/19/2011	Common Stock	1,500	\$ 41.66	D	Â
Stock Options	04/01/2006 <sup>(1)</sup>	04/01/2012	Common Stock	825	\$ 43.49	D	Â
Stock Options	03/01/2007 <sup>(1)</sup>	03/01/2013	Common Stock	1,750	\$ 51.61	D	Â
Stock Options	04/02/2008 <sup>(1)</sup>	02/04/2014	Common Stock	2,000	\$ 48.15	D	Â
Stock Options	04/29/2009 <sup>(1)</sup>	04/29/2015	Common Stock	1,890	\$ 48.76	D	Â
Stock Options	07/07/2009 <sup>(1)</sup>	07/07/2015	Common Stock	174	\$ 45.11	D	Â
Stock Options	04/28/2010 <sup>(1)</sup>	04/28/2016	Common Stock	3,704	\$ 19.48	D	Â
Stock Options	04/30/2011 <sup>(1)</sup>	04/30/2017	Common Stock	2,500	\$ 49.18	D	Â
Stock Options	01/03/2012 <sup>(1)</sup>	01/03/2018	Common Stock	2,520	\$ 54.88	D	Â
Restricted Stock Unit	11/19/2008 <sup>(2)</sup>	11/19/2011	Common stock	465	\$ 0	D	Â
Restricted Stock Unit	04/29/2009 <sup>(2)</sup>	04/29/2012	Common stock	374	\$ 0	D	Â
Restricted Stock Unit	07/07/2009 <sup>(2)</sup>	07/07/2012	Common Stock	34	\$ 0	D	Â
Restricted Stock Unit	04/30/2011 <sup>(2)</sup>	04/30/2014	Common stock	1,000	\$ 0	D	Â
Restricted Stock Unit	01/03/2012 <sup>(2)</sup>	01/03/2015	Common Stock	1,250	\$ 0	D	Â

## Reporting Owners

Reporting Owner Name / Address

Relationships

## Edgar Filing: China John - Form 3

Director   10% Owner   Officer   Other

China John  
3005 TASMAN DRIVE   Â   Â   Â Head of Rel Mgmt   Â  
SANTA CLARA, Â CA Â 95054

## Signatures

Lisa Bertolet as attorney  
in fact   04/28/2011

\_\_Signature of Reporting Person   Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Stock options vest 25% annually over four years from date of grant.
- (2) Restricted stock units vest 25% annually over 4 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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