Edwards Jeffrey S Form 4 January 05, 2006

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Edwards Jeffrey S

> (First) (Middle)

49200 HALYARD DRIVE, P.O.

**BOX 8010** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year) 01/03/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PLYMOUTH, MI 48170

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |               |           |               |  |  |   |
|--------------------------------------|---|--|---|---------------|-----------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | (A)           |           |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | urities Ownership eficially Form: ned Direct (D) owing or Indirect orted (I) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |  | Code V                                  | Amount        | or<br>(D) | Price         | (Instr. 3 and 4)   |  |   |
| Common<br>Stock                      | 01/03/2006                              |  | A                                       | 1.377         | A         | \$<br>72.6081 | 14,017.986<br>(1)  | D  |   |
| Common<br>Stock                      | 01/03/2006                              |  | A                                       | 15,000<br>(2) | A         | \$ 74.1       | 29,017.986   | D  |   |
| Common<br>Stock                      | 01/03/2006                              |  | F                                       | 1,596         | D         | \$ 74.1       | 27,421.986   | D  |   |
| Common<br>Stock                      | 01/03/2006                              |  | M                                       | 165.807       | A         | \$ 74.1       | 27,587.793   | D  |   |
| Common<br>Stock                      | 01/03/2006                              |  | D                                       | 165.807       | D         | \$ 74.1       | 27,421.986   | D  |   |

### Edgar Filing: Edwards Jeffrey S - Form 4

| Common<br>Stock | 5,184.779 (3) I | By<br>401(k)<br>Plan<br>Trust |
|-----------------|-----------------|-------------------------------|
|                 |                 |                               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)      | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | iorDerivative<br>Securities |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amor<br>Underlying Secur<br>(Instr. 3 and 4) |                  |
|--|---|---|---|---------------------------------------|-----------------------------|---------|--|--------------------|---|------------------|
|  |   |   |   | Code V                                | (A)                         | (D)     | Date Exercisable   | Expiration<br>Date | Title   | Am<br>Nui<br>Sha |
| Phantom<br>Stock<br>Units -<br>Excess<br>Benefit<br>Plan | <u>(4)</u>  |   |   |                                       |                             |         | <u>(5)</u>   | <u>(5)</u>         | Common<br>Stock   | 14               |
| Phantom<br>Stock<br>Units -<br>EICP                      | <u>(4)</u>  |   |   |                                       |                             |         | <u>(7)</u>   | <u>(7)</u>         | Common<br>Stock   | 6,3              |
| Phantom<br>Stock<br>Units -<br>Restricted<br>Stock Plan  | <u>(4)</u>  | 01/03/2006                              |   | M                                     |                             | 165.807 | <u>(9)</u>   | <u>(9)</u>         | Common<br>Stock   | 1                |
| Stock<br>Option  | \$ 28.4219  |   |   |                                       |                             |         | 11/15/2002   | 11/15/2010         | Common<br>Stock   | 1                |
| Stock<br>Option  | \$ 40.115   |   |   |                                       |                             |         | 11/14/2003   | 11/14/2011         | Common<br>Stock   | 1                |
| Stock<br>Option  | \$ 40.2975  |   |   |                                       |                             |         | 11/20/2004(11)   | 11/20/2012         | Common<br>Stock   | 2                |
| Stock<br>Option  | \$ 52.55  |   |   |                                       |                             |         | 11/19/2005(11)   | 11/19/2013         | Common<br>Stock   | 2                |

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 Stock Option
 \$ 61.69
 \$ 11/17/2006(11)
 \$ 11/17/2014
 Common Stock

 Stock Option
 \$ 67.685
 \$ 67.685
 \$ 11/16/2007(11)
 \$ 11/16/2015
 Common Stock

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170

Vice President

## **Signatures**

Arlene D. Gumm, Attorney-in-Fact for Jeffrey S.
Edwards
01/05/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2.253 shares of stock acquired through the reinvestment of dividends on January 3, 2006, at a price of \$72.6081 per share.
- (2) Award of a restricted stock grant. Fifty percent is released from restriction in two years and 50% in four years.
- The number of underlying securities is based on the stock fund balance on January 4, 2006. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a January 4, 2006 stock fund price of \$75.17 per share.
- (4) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- (5) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
- (6) Includes 0.55 phantom stock units acquired through the reinvestment of dividends on January 4, 2006, at a price of \$75.17 per phantom unit.
- (7) The phantom stock units were accrued under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
- (8) Includes 23.885 phantom stock units acquired through the reinvestment of dividends on January 4, 2006, at a price of \$75.17 per phantom unit.
- (9) The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (10) Includes 46.878 phantom stock units acquired through the reinvestment of dividends on January 4, 2006, at a price of \$75.17 per phantom unit.
- (11) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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