

AMERICAN ELECTRIC POWER CO INC

Form 4

May 03, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Zebula Charles E

2. Issuer Name and Ticker or Trading Symbol  
AMERICAN ELECTRIC POWER CO INC [AEP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
05/02/2016

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

Executive Vice President

1 RIVERSIDE PLAZA

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

COLUMBUS, OH 43215

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |                                   |
| Common Stock                    | 05/02/2016                           |  | M                              |   | \$ 2,022<br>(1)<br>64.77<br>(4)   | D  |                                   |
| Common Stock                    | 05/02/2016                           |  | F                              |   | \$ 970<br>D 64.77<br>(4)  | D  |                                   |
| Common Stock                    | 05/02/2016                           |  | D                              |   | \$ 1,052<br>D 64.77<br>(4)  | D  |                                   |
| Common Stock                    | 05/02/2016                           |  | M                              |   | \$ 1,926<br>(2)<br>64.77  | D  |                                   |

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|              |            |   |                     |   |                           |       |   |
|--------------|------------|---|---------------------|---|---------------------------|-------|---|
| Common Stock | 05/02/2016 | F | 923                 | D | <u>(4)</u><br>\$<br>64.77 | 1,003 | D |
| Common Stock | 05/02/2016 | D | 1,003               | D | <u>(4)</u><br>\$<br>64.77 | 0     | D |
| Common Stock | 05/02/2016 | M | <u>(3)</u><br>1,585 | A | <u>(4)</u><br>\$<br>64.77 | 1,585 | D |
| Common Stock | 05/02/2016 | F | 760                 | D | <u>(4)</u><br>\$<br>64.77 | 825   | D |
| Common Stock | 05/02/2016 | D | 825                 | D | <u>(4)</u><br>\$<br>64.77 | 0     | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                  |                 |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|------------------|-----------------|--------------|----------------------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D)   | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares |
| Restricted Stock Units                     | <u>(1)</u>   | 05/02/2016                           |  | M                              |   | 2,022  |   | 05/02/2016       | 05/02/2016      | Common Stock | 2,022                      |
| Restricted Stock Units                     | <u>(2)</u>   | 05/02/2016                           |  | M                              |   | 1,926  |   | 05/02/2016       | 05/02/2016      | Common Stock | 1,926                      |
| Restricted Stock                           | <u>(3)</u>   | 05/02/2016                           |  | M                              |   | 1,585  |   | 05/02/2016       | 05/02/2016      | Common Stock | 1,585                      |

Units

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| Zebula Charles E<br>1 RIVERSIDE PLAZA<br>COLUMBUS, OH 43215 |               |           | Executive Vice President |       |

## Signatures

/s/ Thomas G. Berkemeyer, Attorney-in-Fact for Charles E. Zebula 05/03/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A portion of Mr. Zebula's restricted stock units (2,022) that were granted on 2/26/2013 vested on 5/2/2016. Upon vesting, 970 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
  - (2) A portion of Mr. Zebula's restricted stock units (1,926) that were granted on 12/10/2013 vested on 5/2/2016. Upon vesting, 923 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
  - (3) A portion of Mr. Zebula's restricted stock units (1,585) that were granted on 2/24/2015 vested on 5/2/2016. Upon vesting, 760 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
  - (4) Value is based on 20 day average closing price of \$64.77.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.