Edgar Filing: PHLEGAR JEFFREY S - Form 4

EFFREY S											
June 27, 2012									OMB APPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 chliastians Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Expires:January 31, 2005Estimated average burden hours per response0.5				
esponses)											
PHLEGAR JEFFREY S Symbol MainSta			ay DefinedTerm Municipal				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
	(M	3. Date of Earliest Transaction (Month/Day/Year) 06/27/2012					Director 10% Owner Officer (give title X Other (specify below) CEO of Subadvisor				
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
L, NY 10019							Person	More than One Ro	eporting		
(State) (Z	Zip)	Table	I - Non-De	rivative S	ecurit	ies Acq	juired, Disposed o	of, or Beneficial	lly Owned		
(Instr. 3) any		Date, if	3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)				Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
06/27/2012			Code V P	Amount 2,500	or (D) A	Price \$ 20	(Instr. 3 and 4)	D			
	4 UNITED S box str STATEM	4 UNITED STATES SI box str STATEMENT OF C . Filed pursuant to Sec shue. Section 17(a) of the Pull Section 17(a) of the Pull Section 17(a) of the Pull 30(h) of esponses) ddress of Reporting Person [*] EFFREY S Sy M O (First) (Middle) 3. "H STREET, 33RD (K (Street) 4. Filed (Street) 4. Filed (State) (Zip) 2. Transaction Date 2A. Deeme (Month/Day/Year) Execution I any (Month/Day	4 UNITED STATES SECURI Wash box T STATEMENT OF CHANC Filed pursuant to Section 16 Section 17(a) of the Public Uti 30(h) of the Inv esponses) dress of Reporting Person [*] . EFFREY S 2. Issuer I Symbol MainStay Opportur (First) (Middle) 3. Date of H (Month/Da H STREET, 33RD 06/27/20 (Street) 4. If Amene Filed(Month Gate) (Zip) Table 2. Transaction Date 2A. 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Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) o any Code Disposed of (D (Month/Day/Year) (Instr. 3) (Instr. 3, 4 and	4 UNITED STATES SECURITIES AND EXCHANGE O Washington, D.C. 20549 T STATEMENT OF CHANGES IN BENEFICIAL OW SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Section 17(a) of the Public Utility Holding Company Act of 194 section 30(h) of the Investment Company Act of 194 esponses) dress of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol MainStay DefinedTerm Municipal Opportunities Fund [MMD] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) H STREET, 33RD 06/27/2012 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) , NY 10019 (State) (Zip) Table I - Non-Derivative Securities Actor 2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) (A) (Code V Amount (D) Price	4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section used and the public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 esponses) 2. Issuer Name and Ticker or Trading Symbol MainStay DefinedTerm Municipal Opportunities Fund [MMD] 5. Relationship or Issuer (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship or Differer (give below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or J Applicable Line) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Op Reson (Month/Day/Year) 3. 4. Securities Securities Acquired, Disposed of Op Reson (Month/Day/Year) 3. 4. Securities Securities Securities Acquired, Disposed of Op Reson (Month/Day/Year) 3. 4. Securities Code Disposed of Op Reson (Month/Day/Year) 3. 4. Securities Securiti	4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB A Mumber: Expression Securities Statement of Changes in Beneficial ownership of Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 exponses) 2. Issuer Name and Ticker or Trading Symbol MainStay DefinedTerm Municipal Opportunities Fund [MMD] 5. Relationship of Reporting Per Issuer (First) (Middle) 3. Dat of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Per Issuer (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filin Applicable Line) -X.Form filed by More than One R Person (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filin Applicable Line) -X.Form filed by More than One R Person (Street) 2.1 Execution Date; fi any (Month/Day/Year) 3. 4. Securities Code 5. Assumption Disposed of (D) Or Or Or Beneficially (Dorigong (Instr. 3) and 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	. ,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
PHLEGAR JEFFREY S 9 WEST 57TH STREET, 33RD FLOOR NEW YORK, NY 10019				CEO of Subadvisor			
Signatures							
/s/Kevin M. Bopp, Attorney-in-Fact	06/27/2012	2					
**Signature of Reporting Person	Date						

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.