

SPARTON CORP
Form 3/A
November 27, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|----------|--|---|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol |
| Â Gaul Michael A. | | | (Month/Day/Year) | SPARTON CORP [SPA] |
| (Last) | (First) | (Middle) | 11/13/2013 | 4. Relationship of Reporting Person(s) to Issuer |
| 425 MARTINGALE ROAD,Â SUITE 2050 | | | | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | | 11/25/2013 |
| SCHAUMBURG,Â ILÂ 60173-2213 | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | | <input type="checkbox"/> Form filed by One Reporting Person |
| | | | (Check all applicable) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | |
| | | | <input type="checkbox"/> Officer <input checked="" type="checkbox"/> Other | |
| | | | (give title below) (specify below) | |
| | | | GM, SPA Med Sys Strongsville | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 6,365 <u>(1)</u> <u>(2)</u> | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | |

Shares (I)
(Instr. 5)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|------------------------------|
| | Director | 10% Owner | Officer | Other |
| Gaul Michael A. 425 MARTINGALE ROAD SUITE 2050 SCHAUMBURG, IL 60173-2213 | Ã | Ã | Ã | GM, SPA Med Sys Strongsville |

Signatures

Carrie Leahy, Attorney-in-Fact by Power of Attorney 11/27/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment is adding 3,127 shares that were omitted from the reporting person's original Form 3.
- (2) All common stock reflected in this Form 3 is restricted and will vest over a period of approximately four years, provided certain performance criteria are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.