

STRUIK HENDRIK

Form 4

December 29, 2017

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STRUIK HENDRIK

2. Issuer Name **and** Ticker or Trading  
Symbol  
MGC DIAGNOSTICS Corp  
[MGCD]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
726 THOMAS COURT  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/28/2017

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

LIBERTYVILLE, IL 60048

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            |          | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------|----------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) | Price    |                                                                                               |                                                          |                                                       |
| Common Stock                    | 12/28/2017                           |                                                    | U                              |                                                                   | 3,569  | D          | \$ 11.03 | 0                                                                                             | D                                                        |                                                       |
| Common Stock                    | 12/28/2017                           |                                                    | U                              |                                                                   | 62,934 | D          | \$ 11.03 | 0                                                                                             | I                                                        | By Trust <sup>(1)</sup>                               |
| Common Stock                    | 12/28/2017                           |                                                    | U                              |                                                                   | 5,053  | D          | \$ 11.03 | 0                                                                                             | I                                                        | By Trust <sup>(2)</sup>                               |

By Trust  
(1)

By Trust  
(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Pr<br>Dep<br>Secu<br>(Instr |                 |                                        |      |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------------------|-----------------|----------------------------------------|------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                               | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date             | Title           | Amount<br>or<br>Number<br>of<br>Shares |      |
| Restricted<br>Stock<br>Grants                       | \$ 8.91                                                            | 12/28/2017                              |                                                             | D                                    |                                                                                                                 | 3,591                                                          |     | (3)                                                                 | (3)                            | Common<br>Stock | 3,591                                  | \$ 1 |

## Reporting Owners

| Reporting Owner Name / Address                               | Relationships                    |
|--------------------------------------------------------------|----------------------------------|
|                                                              | Director 10% Owner Officer Other |
| STRUIK HENDRIK<br>726 THOMAS COURT<br>LIBERTYVILLE, IL 60048 | X                                |

## Signatures

Suzette McNally, Attorney-in-Fact for Hendrik  
Struik

12/29/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares held by the reporting person's spouse, Kim Struik, in the Kim Struik Trust.

(2) Shares held by reporting person in the Hendrik Struik Trust.

The restricted stock was to vest on the earlier of March 22, 2018 or the date of the company's 2018 Annual Meeting of Shareholders. It

(3) was cancelled pursuant to the merger agreement between issuer, MGC Parent LLC and AC Breathe Merger Sub Inc. in exchange for a cash payout of \$39,609.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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