

PRUDENTIAL PLC  
Form 6-K  
October 06, 2017

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

For the month of October, 2017

PRUDENTIAL PUBLIC LIMITED COMPANY

(Translation of registrant's name into English)

LAURENCE POUNTNEY HILL,  
LONDON, EC4R 0HH, ENGLAND  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports  
under cover Form 20-F or Form 40-F.

Form 20-F  Form 40-F

Indicate by check mark whether the registrant by furnishing the information  
contained in this Form is also thereby furnishing the information to the  
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes  No

If "Yes" is marked, indicate below the file number assigned to the registrant  
in connection with Rule 12g3-2(b): 82-

Notification and public disclosure of transactions by persons discharging managerial responsibilities and persons  
closely associated with them

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name Michael Wells

2 Reason for the notification

a) Position/status

Edgar Filing: PRUDENTIAL PLC - Form 6-K

Group Chief Executive  
(PDMR)

b) Initial notification /Amendment

Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name

Prudential plc

b) LEI

5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

a) Description of the financial instrument, type of instrument

Ordinary shares of 5 pence each

Identification code

GB0007099541

b) Nature of the transaction(s)

(i) Acquisition of shares under the dividend re-investment associated with the Prudential Group Share Incentive Plan  
(ii) Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan

c) Price(s) and volume(s)

	Price(s)	Volume(s)
(i)	GBP 17.92	3
(ii)	GBP 17.405	1,342

(ii) GBP 17.405 1,342

Aggregated information

d) - Aggregated volume

1,345

- Price

GBP 17.406149

e) Date of the transaction(s)

2017-10-05

f) Place of the transaction(s)

London Stock Exchange

1 Details of the person discharging managerial responsibilities / person closely associated

Edgar Filing: PRUDENTIAL PLC - Form 6-K

a) Name	Michael Wells
2 Reason for the notification	
a) Position/status	Group Chief Executive (PDMR)
b) Initial notification /Amendment	Initial notification
3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	
a) Name	Prudential plc
b) LEI	5493001Z3ZE83NGK8Y12
4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted	
a) Description of the financial instrument, type of instrument	American Depositary Receipt ("ADR")
a) Identification code	74435K204
b) Nature of the transaction(s)	Acquisition of ADRs in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan
Price(s) and volume(s)	Price(s)      Volume(s)
c)	USD 46.98    508
Aggregated information	
d) - Aggregated volume	508
- Price	USD 46.98
e) Date of the transaction(s)	2017-10-05
f) Place of the transaction(s)	New York Stock Exchange
1 Details of the person discharging managerial responsibilities / person closely associated	
a) Name	Nicolaos Nicandrou

Edgar Filing: PRUDENTIAL PLC - Form 6-K

2 Reason for the notification

a) Position/status Executive Director (PDMR)

b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name Prudential plc

b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

a) Description of the financial instrument, type of instrument Ordinary shares of 5 pence each

a) Identification code GB0007099541

b) Nature of the transaction(s)  
 (i) Acquisition of shares under the dividend re-investment associated with the Prudential Group Share Incentive Plan  
 (ii) Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan

c) Price(s) and volume(s)  
 (i) GBP 17.92 14

(ii) GBP 17.405 834

Aggregated information

d) - Aggregated volume 848

- Price GBP 17.4135024

e) Date of the transaction(s) 2017-10-05

f) Place of the transaction(s) London Stock Exchange

Edgar Filing: PRUDENTIAL PLC - Form 6-K

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name John Foley

2 Reason for the notification

a) Position/status Executive Director (PDMR)

b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name Prudential plc

b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

a) Description of the financial instrument, type of instrument Ordinary shares of 5 pence each

a) Identification code GB0007099541

b) Nature of the transaction(s)  
 (i) Acquisition of shares under the dividend re-investment associated with the Prudential Group Share Incentive Plan  
 (ii) Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan

c) Price(s) and volume(s)  

	Price(s)	Volume(s)
(i)	GBP 17.92	5
(ii)	GBP 17.405	1,184

Aggregated information

d) - Aggregated volume 1,189

- Price GBP 17.407166

e) Date of the transaction(s) 2017-10-05

Edgar Filing: PRUDENTIAL PLC - Form 6-K

f) Place of the transaction(s) London Stock Exchange

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name Anne Richards

2 Reason for the notification

a) Position/status Executive Director (PDMR)

b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name Prudential plc

b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

Description of the financial instrument, type of instrument	Ordinary shares of 5 pence each
a) Identification code	GB0007099541
b) Nature of the transaction(s)	Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan
Price(s) and volume(s)	Price(s) Volume(s)
c)	GBP 17.405 276
Aggregated information	
d) - Aggregated volume	276
- Price	GBP 17.405
e) Date of the transaction(s)	2017-10-05
f) Place of the transaction(s)	London Stock Exchange

Edgar Filing: PRUDENTIAL PLC - Form 6-K

- 1 Details of the person discharging managerial responsibilities / person closely associated
- a) Name Barry Stowe
- 2 Reason for the notification
- a) Position/status Executive Director (PDMR)
- b) Initial notification /Amendment Initial notification
- 3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
- a) Name Prudential plc
- b) LEI 5493001Z3ZE83NGK8Y12
- 4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted
- |  |   |
|--|---|
| <p>a) Description of the financial instrument, type of instrument</p> <p>Identification code</p> | <p>American Depositary Receipt ("ADR")</p> <p>74435K204</p>   |
| <p>b) Nature of the transaction(s)</p>   | <p>Acquisition of ADRs in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan</p> |
| <p>Price(s) and volume(s)</p>  | <p>Price(s)      Volume(s)</p>  |
| <p>c)</p>  | <p>USD 46.98 1,158</p>  |
| <p>Aggregated information</p>  |   |
| <p>d) - Aggregated volume</p> <p>- Price</p>   | <p>1,158</p> <p>USD 46.98</p>   |
| <p>e) Date of the transaction(s)</p>   | <p>2017-10-05</p>   |
| <p>f) Place of the transaction(s)</p>  | <p>New York Stock Exchange</p>  |

Edgar Filing: PRUDENTIAL PLC - Form 6-K

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name Julian Adams

2 Reason for the notification

a) Position/status Group Regulatory and Government Relations Director (PDMR)

b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name Prudential plc

b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

a) Description of the financial instrument, type of instrument Ordinary shares of 5 pence each

a) Identification code GB0007099541

b) Nature of the transaction(s)  
 (i) Acquisition of shares under the dividend re-investment associated with the Prudential Group Share Incentive Plan  
 (ii) Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan

c) Price(s) and volume(s)  

	Price(s)	Volume(s)
(i)	GBP 17.92	3
(ii)	GBP 17.405	401

d) Aggregated information

- Aggregated volume 404

- Price GBP 17.408824



Edgar Filing: PRUDENTIAL PLC - Form 6-K

e) Date of the transaction(s) 2017-10-05

f) Place of the transaction(s) London Stock Exchange

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name Raghunath Hariharan

2 Reason for the notification

a) Position/status Director of Strategy and Capital Market Relations (PDMR)

b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name Prudential plc

b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

Description of the financial instrument, type of instrument Ordinary shares of 5 pence each

a) Identification code GB0007099541

b) Nature of the transaction(s)

(i) Acquisition of shares under the dividend re-investment associated with the Prudential Group Share Incentive Plan

(ii) Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan and the Group Deferred Bonus Plan

c) Price(s) and volume(s)

	Price(s)	Volume(s)
(i)	GBP 17.92	3
(ii)	GBP 17.405	172

Aggregated information

- d) - Aggregated volume 175
- Price GBP 17.413829
- e) Date of the transaction(s) 2017-10-05
- f) Place of the transaction(s) London Stock Exchange

1 Details of the person discharging managerial responsibilities / person closely associated

- a) Name Jonathan Oliver

2 Reason for the notification

- a) Position/status Group Communications Director (PDMR)
- b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- a) Name Prudential plc
- b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

- a) Description of the financial instrument, type of instrument Ordinary shares of 5 pence each
- Identification code GB0007099541
- b) Nature of the transaction(s)
  - (i) Acquisition of shares under the dividend re-investment associated with the Prudential Group Share Incentive Plan
  - (ii) Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan and the Group

Edgar Filing: PRUDENTIAL PLC - Form 6-K

Deferred Bonus Plan

Price(s) and volume(s)	Price(s)	Volume(s)
c)	(i) GBP 17.92	8
	(ii) GBP 17.405	123

Aggregated information

d) - Aggregated volume	131
- Price	GBP 17.4364504
e) Date of the transaction(s)	2017-10-05
f) Place of the transaction(s)	London Stock Exchange

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name Alan Porter

2 Reason for the notification

a) Position/status Group General Counsel and Company Secretary (PDMR)

b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name Prudential plc

b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

Description of the financial instrument, type of instrument Ordinary shares of 5 pence each

a) Identification code GB0007099541

b) Nature of the transaction(s) (i) Acquisition of shares under the dividend re-investment associated with the Prudential Group Share Incentive Plan

Edgar Filing: PRUDENTIAL PLC - Form 6-K

(ii) Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan and the Group Deferred Bonus Plan

Price(s) and volume(s)	Price(s)	Volume(s)
c)	(i) GBP 17.92	7
	(ii) GBP 17.405	297

Aggregated information

d) - Aggregated volume	304
- Price	GBP 17.416859
e) Date of the transaction(s)	2017-10-05
f) Place of the transaction(s)	London Stock Exchange

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name	Al-Noor Ramji
2 Reason for the notification	
a) Position/status	Group Chief Digital Officer (PDMR)
b) Initial notification /Amendment	Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name	Prudential plc
b) LEI	5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

Description of the financial instrument, type of instrument	Ordinary shares of 5 pence each
a) Identification code	GB0007099541

Edgar Filing: PRUDENTIAL PLC - Form 6-K

b) Nature of the transaction(s)	Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan	
Price(s) and volume(s)	Price(s)	Volume(s)
c)	GBP 17.405	160
Aggregated information		
d) - Aggregated volume	160	
- Price	GBP 17.405	
e) Date of the transaction(s)	2017-10-05	
f) Place of the transaction(s)	London Stock Exchange	

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name Tim Rolfe

2 Reason for the notification

a) Position/status Group Human Resources Director (PDMR)

b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name Prudential plc

b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

a) Description of the financial instrument, type of instrument Ordinary shares of 5 pence each

Identification code GB0007099541

b) Nature of the transaction(s)

Edgar Filing: PRUDENTIAL PLC - Form 6-K

Acquisition of shares in respect of dividends accruing to deferred share awards under the Prudential Annual Incentive Plan and the Group Deferred Bonus Plan

Price(s)      Volume(s)

Price(s) and volume(s)		
c)	GBP 17.405	359
Aggregated information		
d) - Aggregated volume		359
- Price		GBP 17.405
e) Date of the transaction(s)		2017-10-05
f) Place of the transaction(s)		London Stock Exchange

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name      Howard Davies

2 Reason for the notification

a) Position/status      Non-Executive Director (PDMR)

b) Initial notification /Amendment      Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name      Prudential plc

b) LEI      5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

a) Description of the financial instrument, type of instrument      Ordinary shares of 5 pence each

Identification code

GB0007099541

Edgar Filing: PRUDENTIAL PLC - Form 6-K

b) Nature of the transaction(s)	Acquisition of shares under the Dividend Re-investment Plan for ordinary shareholders	
Price(s) and volume(s)	Price(s)	Volume(s)
c)	GBP 17.7533	74
Aggregated information		
d) - Aggregated volume	74	
- Price	GBP 17.7533	
e) Date of the transaction(s)	2017-10-05	
f) Place of the transaction(s)	London Stock Exchange	

1 Details of the person discharging managerial responsibilities / person closely associated

a) Name Lord Turner

2 Reason for the notification

a) Position/status Non-Executive Director (PDMR)

b) Initial notification /Amendment Initial notification

3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name Prudential plc

b) LEI 5493001Z3ZE83NGK8Y12

4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

a) Description of the financial instrument, type of instrument Ordinary shares of 5 pence each

Identification code GB0007099541

Edgar Filing: PRUDENTIAL PLC - Form 6-K

b) Nature of the transaction(s)	Acquisition of shares under the Dividend Re-investment Plan for ordinary shareholders	
Price(s) and volume(s)	Price(s)	Volume(s)
c)	GBP 17.7533	52
Aggregated information		
d) - Aggregated volume	52	
- Price	GBP 17.7533	
e) Date of the transaction(s)	2017-10-05	
f) Place of the transaction(s)	London Stock Exchange	

Additional information

Prudential plc is not affiliated in any manner with Prudential Financial Inc., a company whose principal place of business is in the United States of America.

Contact

Jennie Webb, Share Plans Manager, +44 (0)20 7548 2027  
Chris Smith, Deputy Group Secretary, +44 (0)20 7548 2115

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: 06 October 2017

PRUDENTIAL PUBLIC LIMITED COMPANY

By: /s/ Chris Smith

Chris Smith  
Deputy Company Secretary



