van Gaalen Jan Kees Form 4 August 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

may continue. See Instruction

(Middle)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * van Gaalen Jan Kees

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

08/01/2018

KENNAMETAL INC [KMT]

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner

(Check all applicable)

X_ Officer (give title _ Other (specify below)

Vice President

10205 WESTHEIMER, SUITE 1000

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77042

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	ecurit	ies Acqui	ired, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	08/01/2018		M	13,897	A	\$ 37.74	39,444	D	
Common Stock	08/01/2018		F	3,960	D	\$ 37.74	35,484	D	
Common Stock	08/01/2018		M			\$ 37.74	43,992	D	
Common Stock	08/01/2018		F	2,422	D	\$ 37.74	41,570	D	
Common Stock	08/01/2018		J	17,160 (1)	A	\$ 0	58,730	D	

Edgar Filing: van Gaalen Jan Kees - Form 4

Common Stock	08/01/2018	J	6,548 (2)	D	\$0	52,182	D
Common Stock	08/01/2018	J	234.694 (3)	A	\$0	52,416.694 (4)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		erivative Expiration Date (Month/Day/Year) cquired (A) Disposed of D) nstr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(5)</u>	08/01/2018		M		13,897	08/01/2018	<u>(6)</u>	Common Stock	13,897	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

van Gaalen Jan Kees

10205 WESTHEIMER, SUITE 1000 Vice President

HOUSTON, TX 77042

Signatures

Michelle R.
Keating

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 10,926 shares deemed to have been earned by the Compensation Committee on July 31, 2018, with respect to the second tranche of the Performance Unit Award granted to the reporting person on August 1, 2016 under the Amended and Restated Kennametal

Reporting Owners 2

Edgar Filing: van Gaalen Jan Kees - Form 4

Inc. Stock and Incentive Plan of 2010 (the "2016 Performance Unit Award"); and 6,234 shares deemed to have been earned by the Compensation Committee on July 31, 2018, with respect to the first tranche of the Performance Unit Award granted to the reporting person on August 1, 2017 under the Kennametal Inc. Stock and Incentive Plan of 2016 (the "2017 Performance Unit Award"). The vesting and actual payout of these shares remains subject to the reporting person's continued employment with the Company through August 1, 2019 with respect to the 2016 Performance Unit Award and August 1, 2020 with respect to the 2017 Performance Unit Award.

- Represents 6,548 performance unit shares previously reported on Form 4 as deemed earned shares under Kennametal's 2015 Performance (2) Unit Awards that have met the performance requirements for distribution as common shares. Distributed performance unit shares are separately reported on Form 4 as acquired common stock.
- (3) Represents 234.694 shares acquired as dividends earned through Kennametal Inc.'s dividend reinvestment plan.
- (4) Includes 26,224 shares of Performance Unit shares not yet disbursed.
- (5) 1 for 1
- (6) These restricted stock units are subject to time based vesting and are dispersed in three equal annual installments, commencing on the first anniversary of the grant date subject to continued employment with the company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.