

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-B

FIRST FINANCIAL FUND INC
Form NSAR-B
May 25, 2001

PAGE 1
000 B000000 03/31/2001
000 C000000 0000790202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FIRST FINANCIAL FUND, INC.
001 B000000 811-4605
001 C000000 9733671495
002 A000000 100 MULBERRY STREET, 9TH FLOOR
002 B000000 NEWARK
002 C000000 NJ
002 D010000 07102
002 D020000 4077
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 WELLINGTON MANAGEMENT COMPANY, LLP
008 B000001 A
008 C000001 801-15908
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
010 A000001 PRUDENTIAL INVESTMENTS FUND MANAGEMENT LLC
010 B000001 811-31104
010 C010001 NEWARK
010 C020001 NJ
010 C030001 07102
010 C040001 4077
012 A000001 STATE STREET BANK AND TRUST COMPANY
012 B000001 84-111111
012 C010001 NORTH QUINCY
012 C020001 MA
012 C030001 02171
013 A000001 PRICE WATERHOUSE COOPERS LLP
PAGE 2
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C

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015 C010001 NORTH QUINCY
015 C020001 MA
015 C030001 02171
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 KEEFE, BRUYETTE & WOODS, INC.
020 B000001 13-1964616
020 C000001 115
020 A000002 SANDLER O'NEIL & PARTNERS, L.P.
020 B000002 13-3481277
020 C000002 88
020 A000003 LEHMAN BROTHERS INC.
020 B000003 13-2518466
020 C000003 55
020 A000004 ROBERTSON STEPHENS
020 B000004 94-2524501
020 C000004 52
020 A000005 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.
020 B000005 52-1630477
020 C000005 33
020 A000006 WEEDEN & CO., L.P.
020 B000006 13-3364318
020 C000006 30
020 A000007 JEFFERIES & COMPANY, INC.
020 B000007 95-2622900
020 C000007 28
020 A000008 INVESTMENT TECHNOLOGY GROUP, INC.
020 B000008 97-4339369
020 C000008 27
020 A000009 CREDIT SUISSE FIRST BOSTON CORP.
020 B000009 13-5659485
020 C000009 26
020 A000010 CLEARY, GULL & REILAND
020 B000010 04-3414501
020 C000010 19
021 000000 473
022 A000001 SANDLER O'NEIL & PARTNERS, L.P.
022 B000001 13-3481277
022 C000001 24721
022 D000001 39507
022 A000002 FRIEDMAN, BILLINGS, & RAMSEY & CO., INC.
022 B000002 52-1630477
022 C000002 10933
022 D000002 39967
PAGE 3
022 A000003 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
022 B000003 13-5674085
022 C000003 10923
022 D000003 3577
022 A000004 CREDIT SUISSE FIRST BOSTON CORP.
022 B000004 13-5659485
022 C000004 3803
022 D000004 4916
022 A000005 KEEFE BRUYETTE & WOODS, INC.
022 B000005 13-1964616
022 C000005 2163
022 D000005 4208
022 A000006 WEEDEN & CO., L.P.
022 B000006 13-3364318
022 C000006 0

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| | | |
|-----|---------|-----------------------------|
| 022 | D000006 | 6102 |
| 022 | A000007 | KNIGHT SECURITIES, INC. |
| 022 | C000007 | 349 |
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| 022 | A000008 | FIRST UNION CAPITAL MARKETS |
| 022 | C000008 | 0 |
| 022 | D000008 | 5476 |
| 022 | A000009 | JEFFERIES & COMPANY, INC. |
| 022 | B000009 | 95-2622900 |
| 022 | C000009 | 1000 |
| 022 | D000009 | 3870 |
| 022 | A000010 | THE CHICAGO CORPORATION |
| 022 | C000010 | 4043 |
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| 025 | A000001 | J.P. MORGAN CHASE |
| 025 | B000001 | 13-3224016 |
| 025 | C000001 | E |
| 025 | D000001 | 4939 |
| 025 | A000002 | PARIBAS CORP. |
| 025 | B000002 | 13-3235334 |
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| 025 | D000002 | 56701 |
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| | | |
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| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
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| 042 | C000000 | 0 |
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| 042 | E000000 | 0 |
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| 042 | H000000 | 0 |
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| 048 | A020000 | 0.750 |
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| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 50000 |
| 048 | K020000 | 0.625 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |

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054 B000000 Y
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 N
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 Y
 PAGE 6
056 000000 Y
057 000000 N
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068 B000000 N
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070 A010000 Y
070 A020000 Y
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070 D010000 Y

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| | | | |
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| 070 | D020000 | N | |
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| 070 | G020000 | N | |
| 070 | H010000 | N | |
| 070 | H020000 | N | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
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| 070 | J020000 | Y | |
| 070 | K010000 | N | |
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| 070 | L010000 | N | |
| 070 | L020000 | N | |
| 070 | M010000 | N | |
| 070 | M020000 | N | |
| 070 | N010000 | N | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | Y | |
| 070 | P010000 | Y | |
| 070 | P020000 | Y | |
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| 070 | Q020000 | N | |
| 070 | R010000 | N | |
| 070 | R020000 | N | |
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| 071 | C000000 | | 250402 |
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| 072 | A000000 | 12 | |
| 072 | B000000 | | 2978 |
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| 072 | N000000 | | 43 |
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| 072 | X000000 | | 5470 |
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| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 3434 |
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| 072BB000000 | 56712 |
| 072CC010000 | 0 |
| 072CC020000 | 85600 |
| 072DD010000 | 0 |
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| 074 D000000 | 600 |
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| 074 F000000 | 246978 |
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| 074 J000000 | 13659 |
| 074 K000000 | 0 |
| 074 L000000 | 347 |
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| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 158 |
| 074 S000000 | 0 |
| 074 T000000 | 315392 |
| 074 U010000 | 24525 |
| 074 U020000 | 0 |
| 074 V010000 | 12.86 |
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| 074 W000000 | 0.0000 |
| 074 X000000 | 1168 |
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| 077 O000000 | N |
| 077 P000000 | N |
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| 078 000000 | N |

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080 A000000 ICI MUTUAL INSURANCE COMPANY
080 C000000 70
081 A000000 Y
081 B000000 59
082 A000000 N
082 B000000 0
083 A000000 N
083 B000000 0
084 A000000 N
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085 A000000 Y
085 B000000 N
086 A010000 0
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086 B010000 1149
086 B020000 104
086 C010000 0
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086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 320228109
087 A030000 FF
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE GRACE TORRES
TITLE TREASURER