#### HALLIBURTON CO

Form 4

December 04, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number:

January 31, Expires: 2005 Estimated average

0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* Pope Lawrence J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Middle)

3. Date of Earliest Transaction

HALLIBURTON CO [HAL]

Director 10% Owner

HALLIBURTON COMPANY, 3000

12/02/2015

(Month/Day/Year)

X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

N. SAM HOUSTON PARKWAY E.

(Street)

(First)

**EVP Administration & CHRO** 

(Instr. 4)

(Check all applicable)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77032

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) Owned (Instr. 8) (D) or Ownership Following Indirect (I) (Instr. 4)

> Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

\$ Common 25,000 38.95 12/02/2015 Α 181,934.17 D (1) Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

# Edgar Filing: HALLIBURTON CO - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number or Derivative Securities Acquired or Dispose (D) (Instr. 3, 4 and 5)	(A) ed of	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Option to Buy Common Stock	\$ 38.95	12/02/2015		A	44,500		12/02/2015(3)	12/02/2025	Common Stock	44,50
Option to Buy Common Stock	\$ 40.75						12/03/2014	12/03/2024	Common Stock	47,40
Option to Buy Common Stock	\$ 50.62						12/04/2013	12/04/2023	Common Stock	29,40
Option to Buy Common Stock	\$ 33.5						12/05/2012	12/05/2022	Common Stock	38,50
Option to Buy Common Stock	\$ 35.57						12/06/2011	12/06/2021	Common Stock	28,30
Option to Buy Common Stock	\$ 39.19						12/01/2010	12/01/2020	Common Stock	23,00
Option to Buy Common Stock	\$ 29.35						12/01/2009	12/01/2019	Common Stock	26,50
Option to Buy Common Stock	\$ 36.9						12/05/2007	12/05/2017	Common Stock	9,10
Option to Buy Common Stock	\$ 33.17						12/06/2006	12/06/2016	Common Stock	10,40

#### Edgar Filing: HALLIBURTON CO - Form 4

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pope Lawrence J HALLIBURTON COMPANY 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032

**EVP Administration & CHRO** 

# **Signatures**

Robert L. Hayter, by Power of Attorney

12/04/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
- (2) On December 2, 2015, the closing pree of Halliburton Company's Common Stock on the New York Stock Exchange was \$38.95.
- (3) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3