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AMERICAN ELECTRIC POWER CO INC

Form U-9C-3

November 27, 2002

File No. 074-00015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2002

Filed Pursuant to Rule 58 of the Public Utility Holding Company  
Act of 1935

by  
AMERICAN ELECTRIC POWER COMPANY, INC.  
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.  
FORM U-9C-3  
For the Quarter Ended September 30, 2002

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## Exhibits

### ITEM 1 - ORGANIZATION CHART

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company. The reference code for each subsidiary refers to the supplemental schedule to the organization chart that provides details regarding date and state of organization, nature of business, etc. required to be reported under Item 1.

Referenc

- 00. American Electric Power Company, Inc.
  - 01. American Electric Power Service Corporation
    - 01. AEP C&I Company, LLC
      - 02. AEP Ohio Commercial & Industrial Retail Company, LLC
      - 02. AEP Texas Commercial & Industrial Retail GP, LLC
        - 03. AEP Texas Commercial & Industrial Retail Limited Partnership
      - 02. AEP Texas Commercial & Industrial Retail Limited Partnership
      - 02. AEP Gas Power GP, LLC
        - 03. AEP Gas Power Systems, LLC
    - 01. AEP Coal, Inc.
      - 02. Snowcap Coal Company, Inc.
      - 02. AEP Kentucky Coal, LLC
      - 02. AEP Ohio Coal, LLC
      - 02. AEP West Virginia Coal, Inc.
    - 01. AEP Energy Services, Inc.
      - 02. Energy Trading Platform Holding Company, Inc.
      - 02. Intercontinental Exchange Inc.
    - 01. AEP Investments, Inc.
      - 02. AEP EmTech, LLC
        - 03. Altra Energy Technologies, Inc.
        - 03. Amperion, Inc.
        - 03. Universal Supercapacitors, LLC
        - 03. Integrated Fuel Cell Technologies, Inc.
        - 03. Power-span Corp
    - 01. Mutual Energy L.L.C.
      - 02. Mutual Energy Service Company, L.L.C.
      - 02. AEP Ohio Retail Energy, LLC
    - 01. AEP Power Marketing, Inc.
    - 01. AEP T&D Services, LLC
    - 01. AEP Pro Serv, Inc.
      - 02. PHPK Technologies, Inc.
    - 01. AEP Retail Energy LLC
    - 01. AEP Texas POLR, LLC
      - 02. AEP Texas POLR GP, LLC
        - 03. POLR Power, L.P.
      - 02. POLR Power, L.P.
    - 01. Central and South West Corporation
      - 02. Public Service Company of Oklahoma
        - 03. Ash Creek Mining Company
        - 03. AEMT, Inc.
        - 03. Powerware Solutions, Inc.
        - 03. Utility Data Resources, Inc.

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- 02. Southwestern Electric Power Company
- 03. The Arkklahoma Corporation
- 03. Southwest Arkansas Utilities Corporation
- 03. Dolet Hills Lignite Company, LLC
- 02. CSW Energy, Inc.
- 03. CSW Development-I, Inc.
- 04. Polk Power GP II, Inc.
- 05. Polk Power GP, Inc.
- 06. Polk Power Partners, LP
- 07. Mulberry Holdings, Inc.
- 04. CSW Mulberry II, Inc.
- 05. CSW Mulberry, Inc.
- 04. Polk Power Partners, LP
- 05. Mulberry Holdings, Inc.
- 04. Noah I Power GP, Inc.
- 05. Noah I Power Partners, LP
- 06. Brush Cogeneration Partners
- 04. Noah I Power Partners, LP
- 05. Brush Cogeneration Partners
- 04. Orange Cogeneration GP II, Inc.
- 05. Orange Cogeneration G.P., Inc.
- 06. Orange Cogeneration Limited Partnership
- 07. Orange Cogen Funding Corp.
- 08. Orange Holdings, Inc.
- 04. CSW Orange II, Inc.
- 05. CSW Orange, Inc.
- 06. Orange Cogeneration Limited Partnership
- 07. Orange Cogen Funding Corp.
- 08. Orange Holdings, Inc.
- 03. CSW Development-II, Inc.
- 03. CSW Ft. Lupton, Inc.
- 04. Thermo Cogeneration Partnership, L.P.
- 03. CSW Sweeny GP I, Inc.
- 04. CSW Sweeny GP II, Inc.
- 05. Sweeny Cogeneration Limited Partnership
- 03. CSW Sweeny LP I, Inc.
- 04. CSW Sweeny LP II, Inc.
- 05. Sweeny Cogeneration Limited Partnership
- 03. CSW Nevada, Inc.
- 03. CSW Services International, Inc.
- 03. Diversified Energy Contractors Company, LLC
- 04. DECCO II LLC
- 05. Diversified Energy Contractors, LP
- 04. Diversified Energy Contractors, LP
- 04. Industry and Energy Associates, L.L.C.
- 03. CSW Eastex GP I, Inc.
- 04. CSW Eastex GP II, Inc.
- 05. Eastex Cogeneration Limited Partnership
- 03. CSW Eastex LP I, Inc.
- 04. CSW Eastex LP II, Inc.
- 05. Eastex Cogeneration Limited Partnership
- 02. EnerShop Inc.
- 03. Envirotherm, Inc.
- 02. CSW Energy Services, Inc.
- 03. Nuvest, L.L.C.
- 04. National Temporary Services, Inc.
- 05. Octagon, Inc.
- 04. Numanco, L.L.C.
- 05. NuSun, Inc.
- 06. Sun Technical Services, Inc.

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- 06. Calibration and Testing Corporation 8
- 05. ESG Technical Services, L.L.C. 8
- 05. National Environmental Services Technology, L.L.C. 8
- 05. ESG Indonesia, L.L.C. 8
- 05. ESG, L.L.C. 8
- 05. Numanco Services, LLC 8
- 02. REP Holdco, Inc. 8
- 03. Mutual Energy CPL L.P. 8
- 03. Mutual Energy WTU L.P. 9
- 03. Mutual Energy SWEPCO L.P. 9
- 03. REP General Partner LLC 9
- 04. Mutual Energy CPL L.P. 8
- 04. Mutual Energy WTU L.P. 9
- 04. Mutual Energy SWEPCO L.P. 9

	Name of Reporting Company	ENERGY-OR GAS-RELATED COMPANY	DATE OF ORGANIZATION	STATE OF ORGANIZATION	PERCENTAGE OF VOTING SECURITIES HELD	NAT
1	AEP C&I Company, LLC	Energy	10/10/00	Delaware	100%	Marketing energy re
2	AEP Ohio Commercial & Industrial Retail Company, LLC	Energy	10/10/00	Delaware	100%	Marketing energy re
3	AEP Texas Commercial & Industrial Retail GP, LLC	Energy	12/11/00	Delaware	100%	Marketing energy re
4	AEP Texas Commercial & Industrial Retail Limited Partnership	Energy	12/12/00	Delaware	100%	Marketing energy re
5	AEP Gas Power GP, LLC	Energy	12/19/00	Delaware	100%	Distribut
6	AEP Gas Power Systems, LLC	Energy	07/09/96	Delaware	75%	Distribut
7	AEP Coal, Inc.	Energy	10/29/01	Nevada	100%	Coal Mini
8	Snowcap Coal Company, Inc.	Energy	10/29/01	Delaware	100%	Coal Mini
9	AEP Kentucky Coal, LLC	Energy	10/29/01	Delaware	100%	Coal Mini
10	AEP Ohio Coal, LLC	Energy	10/26/01	Delaware	100%	Coal Mini
11	AEP West Virginia Coal, Inc.	Energy	10/29/01	Nevada	100%	Coal Mini
12	AEP Energy Services, Inc.	Energy	09/24/96	Ohio	100%	Broker an
13	Energy Trading Platform Holding Company, Inc.	Energy	04/28/00	Delaware	16.70%	Energy Ma
14	Intercontinental Exchange, Inc.	Energy	06/16/00	Delaware	4.87%	Energy Ma
15	AEP EmTech, LLC	Energy	01/03/01	Delaware	100%	Developme
16	Altra Energy Technologies, Inc.	Energy	08/19/97	Delaware	5%	electrote
17	Amperion, Inc.	Energy	02/16/01	Delaware	38.30%	Non-regul projects
18	Universal Supercapacitors, LLC	Energy	04/16/02	Delaware	50.00%	Non-regul projects
19	Mutual Energy L.L.C.	Energy	12/17/99	Delaware	100%	Marketing energy re
20	Mutual Energy Service Company, L.L.C.	Energy	09/05/00	Delaware	100%	Marketing energy re
21	AEP Ohio Retail Energy, LLC	Energy	09/05/00	Delaware	100%	Marketing energy re
22	AEP Power Marketing, Inc.	Energy	07/22/96	Ohio	100%	Marketing energy re
23	AEP T&D Services, LLC	Energy	12/12/00	Delaware	100%	Energy se chain, tr

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24	Powerspan Corp	Energy	05/14/97	Delaware	9.80%	Pollution
25	PHPK Technologies, Inc.	Energy	07/01/91	Ohio	40.40%	Cryogenic & Service
26	AEP Retail Energy LLC	Energy	06/28/00	Delaware	100%	Marketing energy re
27	AEP Texas POLR, LLC (aka AEP Texas Retail GP, LLC)	Energy	12/11/00	Delaware	100%	Marketing energy re
28	AEP Texas POLR GP, LLC	Energy	11/14/01	Delaware	100%	Marketing energy re
29	POLR Power, L.P.	Energy	11/14/01	Delaware	100.00%	Marketing energy re
30	Ash Creek Mining Company	Energy		Oklahoma		Sold 8/5/
31	AEMT, Inc.	Energy	12/23/97	Florida	0%	Power con
32	Powerware Solutions, Inc.	Energy	02/15/94	Texas	4.00%	Energy Ma utilities
33	Utility Data Resources, Inc.	Energy	12/30/97	Delaware	4.50%	Electrici and softw
34	The Arkklahoma Corporation	Energy	05/16/47	Arkansas	44.20%	Electric
35	Southwest Arkansas Utilities Corporation	Energy	03/22/28	Arkansas	100.00%	Inactive
36	Dolet Hills Lignite Company, LLC	Energy	04/09/01	Delaware	100%	Coal Mini
37	CSW Development-I, Inc.	Energy	12/06/90	Delaware	100%	Qualifyin
38	Polk Power GP II, Inc.	Energy	03/20/95	Delaware	50%	Qualifyin
39	Polk Power GP, Inc.	Energy	09/18/91	Delaware	100%	Qualifyin
40	Polk Power Partners, LP	Energy	02/19/92	Delaware	50%	Qualifyin
41	Mulberry Holdings, Inc.	Energy	10/28/99	Delaware	100%	Qualifyin
42	CSW Mulberry II, Inc.	Energy	03/21/95	Delaware	100%	Qualifyin
43	CSW Mulberry, Inc.	Energy	02/03/94	Delaware	100%	Qualifyin
44	Noah I Power GP, Inc.	Energy	05/14/91	Delaware	100%	Qualifyin
45	Noah I Power Partners, LP	Energy	05/16/91	Delaware	100%	Qualifyin
46	Brush Cogeneration Partners	Energy	06/17/94	Delaware	50%	Qualifyin
47	Orange Cogeneration GP II, Inc.	Energy	03/16/95	Delaware	50%	Qualifyin
48	Orange Cogeneration G.P., Inc.	Energy	02/05/93	Delaware	100%	Qualifyin
49	Orange Cogeneration Limited Partnership	Energy	02/05/93	Delaware	50.00%	Qualifyin
50	Orange Cogen Funding Corp.	Energy	06/11/92	Delaware	100%	Qualifyin
51	Orange Holdings, Inc.	Energy	10/28/99	Delaware	100%	Qualifyin
52	CSW Orange II, Inc.	Energy	03/16/95	Delaware	100%	Qualifyin
53	CSW Orange, Inc.	Energy	04/21/93	Delaware	100%	Qualifyin
54	CSW Development-II, Inc.	Energy	06/11/92	Delaware	100%	Qualifyin
55	CSW Ft. Lupton, Inc.	Energy	04/01/93	Delaware	50%	Qualifyin
56	Thermo Cogeneration Partnership, L.P.	Energy	03/17/93	Delaware	100%	Qualifyin
57	CSW Sweeny GP I, Inc.	Energy	09/06/95	Delaware	100%	Qualifyin
58	CSW Sweeny GP II, Inc.	Energy	09/06/95	Delaware	100%	Qualifyin
59	Sweeny Cogeneration Limited Partnership	Energy	10/10/95	Delaware	50%	Qualifyin
60	CSW Sweeny LP I, Inc.	Energy	09/06/95	Delaware	100%	Qualifyin
61	CSW Sweeny LP II, Inc.	Energy	09/06/95	Delaware	100%	Qualifyin
62	CSW Nevada, Inc.	Energy	06/29/93	Delaware	100%	Energy Ma
63	CSW Services International, Inc.	Energy	03/19/97	Delaware	100%	Non-regul projects
64	Diversified Energy Contractors Company, LLC	Energy	07/03/97	Delaware	100%	Non-regul projects
65	DECCO II LLC	Energy	08/08/97	Delaware	100%	Non-regul projects
66	Diversified Energy Contractors, LP	Energy	08/18/98	Delaware	100%	Non-regul projects
67	Industry and Energy Associates, L.L.C.	Energy	05/26/98	Delaware	100%	Non-regul projects
68	CSW Eastex GP I, Inc.	Energy	09/04/98	Delaware	100%	Qualifyin

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69	CSW Eastex GP II, Inc.	Energy	09/04/98	Delaware	100%	Qualifyin
70	Eastex Cogeneration Limited Partnership	Energy	09/09/98	Delaware	50%	Qualifyin
71	CSW Eastex LP I, Inc.	Energy	09/04/98	Delaware	100%	Qualifyin
72	CSW Eastex LP II, Inc.	Energy	09/04/98	Delaware	100%	Qualifyin
73	EnerShop Inc.	Energy	09/11/95	Delaware	100%	Energy Ma
74	Envirotherm, Inc.	Energy	01/18/82	Texas	100%	Energy Ma
75	CSW Energy Services, Inc.	Energy	09/24/97	Delaware	100%	Energy Ma
76	Nuvest, L.L.C.	Energy	02/20/96	Delaware	92.90%	Staff Aug
77	National Temporary Services, Inc.	Energy	12/31/90	Pennsylvania	100%	Staff Aug
78	Octagon, Inc.	Energy	11/05/93	Delaware	100%	Staff Aug
79	Numanco, L.L.C.	Energy	04/08/96	Oklahoma	100%	Staff Aug
80	NuSun, Inc.	Energy	04/26/88	Delaware	100%	Staff Aug
81	Sun Technical Services, Inc.	Energy	01/16/91	California	100%	Staff Aug
82	Calibration and Testing Corporation	Energy	01/02/80	California	100%	Staff Aug
83	ESG Technical Services, L.L.C.	Energy	07/16/99	Oklahoma	100%	Staff Aug
84	National Environmental Services Technology, L.L.C.	Energy	11/13/98	Oklahoma	100%	Staff Aug
85	ESG Indonesia, L.L.C.	Energy	11/13/98	Oklahoma	100%	Staff Aug
86	ESG, L.L.C.	Energy	11/27/96	Oklahoma	50%	Staff Aug
87	Numanco Services, LLC	Energy	04/08/96	Oklahoma	100%	Staff Aug
88	REP Holdco, Inc.	Energy	04/04/01	Delaware	100%	Marketing energy re
89	Mutual Energy CPL L.P.	Energy	04/04/01	Delaware	100%	Marketing energy re
90	Mutual Energy WTU L.P.	Energy	04/04/01	Delaware	100%	Marketing energy re
91	Mutual Energy SWEPCO L.P.	Energy	04/04/01	Delaware	100%	Marketing energy re
92	REP General Partner LLC	Energy	04/04/01	Delaware	100%	Marketing energy re
93	Integrated Fuel Cell Technologies, Inc.	Energy	04/04/01	Delaware	.10%	Non-regul projects

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ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	Issue or Renewal	Cost of Capital	Person to Whom Security Was Issued	Collateral Given With Security
NONE						

FINANCING AMONG ASSOCIATE COMPANIES:

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	Issue or Renewal	Cost of Capital	Person to Whom Security Was Issued
Eastex Cogeneration L.P.	Loan Note	708	-	-	CSW Energy, Inc.

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Diversified Energy Contractors	Loan Note	14	-	-	CSW Energy, Inc.
NEWGULF Power Venture	Loan Note	179	-	-	CSW Energy, Inc.

CAPITAL CONTRIBUTIONS:

Company Contributing Capital	Company Receiving Capital	Amount of Capital Contributions (in thousands)
------------------------------	---------------------------	--

None

ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed
					(in thousands)	
Nuvest, LLC	South Texas Project	Engineering	\$ 896	-	-	\$ 896
Nuvest, LLC	D.C. Cook	Engineering	10,608	-	-	10,608
Nuvest, LLC	Regional Service Office - West	Engineering	1,240	-	-	1,240

Part II -- Transactions performed by associate companies on behalf of reporting companies

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged
				(in thousands)
American Electric Power Service Corporation	AEPES	Administrative & Marketing	\$11,510	\$4,788
Houston Pipeline	AEPES	Asset Management	6,250	-
Jefferson Island	AEPES	Asset Management	1,250	-
CSW Energy, Inc.	Polk Power Partners, L.P.	Plant Services	356	199
CSW Energy, Inc.	Orange Cogeneration L.P.	Plant Services	408	194
CSW Dev I, Inc.	Polk Power Parnters, L.P.	Plant Services	90	-
CSW Services International Inc.	Eastex Cogeneration L.P.	Plant Services	364	928
CSW Services International Inc.	Sweeny Cogeneration L.P.	Plant Services	420	486
Total			\$20,648	\$6,595

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ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

	(in t
Total consolidated capitalization as of September 30, 2002	\$23,040,000 (a)
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	3,456,000
Greater of \$50 million or line 2	\$3,456,000
Total current aggregate investment: (categorized by major line of energy-related business)	
Energy-related Category 1: Energy Services	24,465
Energy-related Category 5: Energy Marketing	995,578
Energy-related Category 7: Maintenance Services	79,121
Energy-related Category 8: Qualifying Facility	793,941
Energy-related Category 10: Other Form of Energy	163,794
Energy-related Category 2,3,4,6,9	59,214
Total current aggregate investment	2,116,113
Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)	\$1,339,887

(a) Includes short-term debt.

Investments in gas-related companies:

NONE

ITEM 5 - OTHER INVESTMENTS

Major Line of Energy-Related Business	Other Investment in Last U-9C-3 Report	Other Investment in This U-9C-3 Report	Reason for Difference in Other Investment
	(in thousands)		
CSW Energy Services Invested prior to April 1, 1997	\$ 2,696	\$ 2,696	Amounts are excluded from Item
Qualifying facility Invested prior to April 1, 1997	\$194,644	\$194,644	Amounts are excluded from Item

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS



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List all financial statements and exhibits filed as a part of this report.

### Financial Statements:

Balance sheets and Income Statements for period ended September 30, 2002 are filed confidentially under a separate cover for: AEP C&I Company, LLC AEP Coal, Inc.

AEP EmTech LLC AEP Energy Services, Inc. AEP Gas Power GP, LLC AEP Gas Power System GP, LLC AEP Ohio C&I Retail Company AEP Power Marketing, Inc. AEP Retail Energy, LLC AEP T&D Services, LLC AEP Texas C&I Retail LP AEP Texas C&I Retail GP, LLC AEP Texas GP, LLC

CSW Development-I, Inc. (consolidated)

CSW Energy Consolidated

CSW Energy Services, Inc.

CSW Ft. Lupton, Inc.

CSW Power Marketing, Inc.

CSW Services International Inc.

CSWI Consolidated

Diversified Energy Contractors Company (DECCO)

Dolet Hills Lignite Company

Eastex Cogeneration LP

Enershop, Inc.

Mutual Energy LLC (consolidated)

Mutual Energy CPL L.P.

Mutual Energy SWEPCO L.P.

Mutual Energy WTU L.P.

Nuvest, LLC

POLR Power, L.P.

REP General Partner LLC

Sweeny Companies (consolidated)

### Exhibits:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.

#### CERTIFICATE

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended June 30, 2002 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission  
302 West Washington Street, E 306

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Indianapolis, Indiana 46204

Kentucky State Commission  
211 Sower Boulevard  
P.O. Box 615 Frankfort, Kentucky 40602

Michigan Public Service Commission  
6545 Mercantile Way  
P.O. Box 30221 Lansing, Michigan 48909

Public Service Commission of West Virginia  
201 Brooks Street  
P.O. Box 812  
Charleston, West Virginia 25323

Tennessee Regulatory Authority  
460 James Robertson Parkway  
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio  
180 East Broad Street  
Columbus, Ohio 43215

Virginia State Corporation Commission  
1300 East Main Street  
P.O. Box 2118 Richmond, Virginia 23216

Arkansas Public Service Commission  
1000 Center  
P.O. Box 400 Little Rock, AR 72203-0400

Louisiana Public Service Commission  
One American Place  
P.O. Box 91154 Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission  
2102 N. Lincoln Blvd.  
P.O. Box 52000-2000  
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas  
1701 N. Congress Avenue  
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 27th day of  
November, 2002.

/s/ A. A. Pena

---

A. A. Pena  
Treasurer

SIGNATURE

The undersigned system company has duly caused this quarterly report to  
be signed on its behalf by the undersigned, thereunto duly authorized, pursuant

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to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /s/ A. A. Pena

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A. A.  
Pena  
Treasurer

November 27, 2002