

ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC

Form NSAR-B

November 29, 2004

PAGE 1
000 B000000 09/30/2004
000 C000000 0000793040
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND
001 B000000 811-04656
001 C000000 9736311177
002 A000000 65 MADISON AVENUE, SUITE 550
002 B000000 MORRISTOWN
002 C000000 NJ
002 D010000 07960
002 D020000 7308
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 DAVIS-DINSMORE MANAGEMENT COMPANY
008 B000001 A
008 C000001 801-08123
008 D010001 MORRISTOWN
008 D020001 NJ
008 D030001 07960
008 D040001 7308
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10017
013 B040001 6204
015 A000001 THE BANK OF NEW YORK
PAGE 2
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005
015 C040001 2588
015 E010001 X

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B

018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 CITIGROUP GLOBAL MARKETS
020 B000001 11-2418191
020 C000001 12
020 A000002 MORGAN STANLEY AND CO., INC.
020 B000002 13-2655998
020 C000002 5
020 A000003 JEFFERIES & CO., INC.
020 B000003 95-2622900
020 C000003 2
020 A000004 DEUTSCHE BANK ALEX, BROWN, INC.
020 B000004 13-2730828
020 C000004 2
020 A000005 LEHMAN BROTHERS, INC.
020 B000005 12-2518466
020 C000005 1
020 A000006 CREDIT SUISSE FIRST BOSTON, INC.
020 B000006 13-5659485
020 C000006 1
020 A000007 KBC FINACIAL PRODUCTS
020 B000007 13-4083415
020 C000007 1
020 A000008 GOLDMAN, SACHS & CO., INC.
020 B000008 13-5108880
020 C000008 1
020 A000009 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
020 B000009 13-5674085
020 C000009 1
020 A000010 J GIORDANO SECURITIES
020 B000010 13-3604093
020 C000010 1
021 000000 27
022 A000001 AMERICAN EXPRESS CREDIT CORP.
022 B000001 11-1988350
022 C000001 270769
022 D000001 0
022 A000002 CITIGROUP GLOBAL MARKETS
022 B000002 11-2418191
022 C000002 11091
022 D000002 7802
022 A000003 LEHMAN BROTHEERS, INC.
022 B000003 12-2518466
022 C000003 8755
PAGE 3
022 D000003 5448
022 A000004 CIBC OPPENHEIMER CORP.
022 B000004 13-2798343
022 C000004 5570
022 D000004 6438
022 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
022 B000005 13-5674085
022 C000005 6654
022 D000005 3793
022 A000006 GOLDMAN, SACHS & CO.
022 B000006 13-5108880
022 C000006 7416
022 D000006 2677
022 A000007 CREDIT SUISSE FIRST BOSTON CORP.
022 B000007 13-5659485
022 C000007 3553

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B

| | | |
|-----|---------|-----------------------------|
| 022 | D000007 | 4177 |
| 022 | A000008 | MAXCOR FINANCIAL, INC. |
| 022 | B000008 | 13-3410896 |
| 022 | C000008 | 2980 |
| 022 | D000008 | 4669 |
| 022 | A000009 | MORGAN STANLEY & CO. |
| 022 | B000009 | 13-2655998 |
| 022 | C000009 | 4615 |
| 022 | D000009 | 2295 |
| 022 | A000010 | JEFFERIES & CO., INC. |
| 022 | B000010 | 95-2622900 |
| 022 | C000010 | 4040 |
| 022 | D000010 | 2072 |
| 023 | C000000 | 344051 |
| 023 | D000000 | 57370 |
| 024 | 000000 | Y |
| 025 | A000001 | GOLDMAN, SACHS & CO., INC. |
| 025 | B000001 | 13-5108880 |
| 025 | C000001 | E |
| 025 | D000001 | 2020 |
| 025 | A000002 | BEAR, STEARNS AND CO., INC. |
| 025 | B000002 | 13-3299429 |
| 025 | C000002 | D |
| 025 | D000002 | 1972 |
| 025 | A000003 | GOLDMAN, SACHS & CO., INC. |
| 025 | B000003 | 13-5108880 |
| 025 | C000003 | D |
| 025 | D000003 | 1480 |
| 025 | A000004 | LEHMAN BROTHERS, INC. |
| 025 | B000004 | 12-2518466 |
| 025 | C000004 | D |
| 025 | D000004 | 551 |
| 025 | D000005 | 0 |
| 025 | D000006 | 0 |
| 025 | D000007 | 0 |
| | PAGE | 4 |
| 025 | D000008 | 0 |
| 026 | A000000 | N |
| 026 | B000000 | Y |
| 026 | C000000 | Y |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | N |
| 026 | G010000 | N |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
| 028 | C030000 | 0 |
| 028 | C040000 | 0 |
| 028 | D010000 | 0 |
| 028 | D020000 | 0 |
| 028 | D030000 | 0 |

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B

| | | |
|-----|---------|--------|
| 028 | D040000 | 0 |
| 028 | E010000 | 0 |
| 028 | E020000 | 0 |
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| | PAGE 5 | |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.750 |
| 048 | B010000 | 100000 |
| 048 | B020000 | 0.500 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.500 |
| 049 | 000000 | N |

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B

050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 Y
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 PAGE 6
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 N
 056 000000 N
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 Y
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 3.8
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 55.9
 062 Q000000 0.0
 062 R000000 38.9
 063 A000000 0
 063 B000000 2.6
 064 A000000 Y
 064 B000000 N
 066 A000000 Y
 066 B000000 N
 066 C000000 N
 066 D000000 N
 066 E000000 Y
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 N
 069 000000 N

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B

070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
PAGE 7
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 N
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 N
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 Y
070 R020000 Y
071 A000000 81475
071 B000000 71911
071 C000000 102641
071 D000000 70
072 A000000 12
072 B000000 2595
072 C000000 2302
072 D000000 0
072 E000000 0
072 F000000 786
072 G000000 0
072 H000000 0
072 I000000 23
072 J000000 31
072 K000000 1
072 L000000 15
072 M000000 118
072 N000000 0
072 O000000 1
072 P000000 0
PAGE 8
072 Q000000 0
072 R000000 33
072 S000000 95
072 T000000 0

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B

| | |
|-------------|--------|
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 147 |
| 072 X000000 | 1250 |
| 072 Y000000 | 0 |
| 072 Z000000 | 3647 |
| 072AA000000 | 3244 |
| 072BB000000 | 0 |
| 072CC010000 | 411 |
| 072CC020000 | 0 |
| 072DD010000 | 3681 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.3150 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 1485 |
| 074 B000000 | 0 |
| 074 C000000 | 4110 |
| 074 D000000 | 59885 |
| 074 E000000 | 39339 |
| 074 F000000 | 2391 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 0 |
| 074 J000000 | 500 |
| 074 K000000 | 0 |
| 074 L000000 | 442 |
| 074 M000000 | 33 |
| 074 N000000 | 108185 |
| 074 O000000 | 1000 |
| 074 P000000 | 11 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 67 |
| 074 S000000 | 0 |
| 074 T000000 | 107107 |
| 074 U010000 | 12298 |
| 074 U020000 | 0 |
| 074 V010000 | 8.71 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 836 |
| 074 Y000000 | 0 |

PAGE 9

| | |
|-------------|------------------------------|
| 075 A000000 | 0 |
| 075 B000000 | 106232 |
| 076 000000 | 7.95 |
| 077 A000000 | Y |
| 077 B000000 | Y |
| 077 Q010000 | Y |
| 078 000000 | N |
| 080 A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 C000000 | 1050 |
| 081 A000000 | Y |
| 081 B000000 | 2 |
| 082 A000000 | Y |
| 082 B000000 | 100 |
| 083 A000000 | N |

Edgar Filing: ELLSWORTH CONVERTIBLE GROWTH & INCOME FUND INC - Form NSAR-B

| | | |
|-----------|-------------|--------------|
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 1755 |
| 086 | A020000 | 13037 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 28-9074106 |
| 087 | A030000 | ECF |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | GARY LEVINE | |
| TITLE | EXEC. VP | |