

Feintuch Richard D
 Form 4
 November 24, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Feintuch Richard D

(Last) (First) (Middle)

1070 TECHNOLOGY DRIVE

(Street)

NORTH VENICE, FL 34275

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
 PGT Innovations, Inc. [PGTI]

3. Date of Earliest Transaction
 (Month/Day/Year)
 11/21/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
PGTI Common Stock	11/21/2017		M	29,200	A \$ 2.04 75,508	D	
PGTI Common Stock	11/21/2017		S	29,200	D \$ 16.07 46,308 <u>(1)</u>	D	
PGTI Common Stock	11/22/2017		M	20,800	A \$ 2.04 67,108	D	
PGTI Common Stock	11/22/2017		S	20,800	D \$ 16.01 46,308 <u>(2)</u>	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 2.04	11/21/2017		M	29,200	⁽³⁾ 05/03/2022	PGTI Common Stock	29,200
Stock Option (Right to Buy)	\$ 2.04	11/22/2017		M	20,800	⁽³⁾ 05/03/2022	PGTI Common Stock	20,800

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Feintuch Richard D 1070 TECHNOLOGY DRIVE NORTH VENICE, FL 34275		X		

Signatures

\s\ Richard D.
Feintuch

11/24/2017

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$16.05 to \$16.15 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities

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and Exchange Commission, upon request, information regarding the number of shares sold at each separate price within the range set forth in this footnote.

The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$15.90 to \$16.10 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (2) An aggregate of 101,695 stock options were granted to the reporting person on May 3, 2012. The dates on which those options became exercisable are as follows: 33,898 became exercisable on the grant date and 67,797 became exercisable in two approximately equal installments on each of May 3, 2013 and May 3, 2014.
- (3) Represents the remaining number of exercisable stock options granted to the reporting person on May 3, 2012 that had not yet been exercised after giving effect to the reported transaction.
- (4) Represents the currently-remaining number of exercisable stock options granted to the reporting person on May 3, 2012 that have not yet been exercised.
- (5)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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