

KAYNE RICHARD A  
Form 4  
August 21, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KAYNE RICHARD A

2. Issuer Name and Ticker or Trading Symbol  
Kayne Anderson MLP/Midstream Investment Co [KYN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
08/06/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
SEE NOTES (1) AND (2)

C/O KAYNE ANDERSON  
CAPITAL ADVISORS LP, 1800  
AVENUE OF THE STARS, THIRD  
FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

LOS ANGELES, CA 90067

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
COMMON STOCK	08/06/2018		J <sup>(1)</sup>	V 24,788 A \$ 20.02	201,513	D	
COMMON STOCK	08/06/2018		J <sup>(1)</sup>	V 17,725 A \$ 20.02	314,889	I <sup>(2)</sup>	SEE NOTES (1) AND (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KAYNE RICHARD A C/O KAYNE ANDERSON CAPITAL ADVISORS LP 1800 AVENUE OF THE STARS, THIRD FLOOR LOS ANGELES, CA 90067				SEE NOTES (1) AND (2)
KAYNE ANDERSON CAPITAL ADVISORS LP 1800 AVENUE OF THE STARS, THIRD FLOOR LOS ANGELES, CA 90067				SEE NOTE (2)
KA Fund Advisors LLC C/O KAYNE ANDERSON CAPITAL ADVISORS, L.P. 1800 AVENUE OF THE STARS, THIRD FLOOR LOS ANGELES, CA 90067				SEE NOTE (2)

## Signatures

/S/ DAVID SHLADOVSKY BY POWER OF ATTORNEY FOR RICHARD A. KAYNE	08/13/2018
Signature of Reporting Person	Date
/S/ DAVID SHLADOVSKY BY POWER OF ATTORNEY FOR KAYNE ANDERSON CAPITAL ADVISORS, L.P.	08/13/2018
Signature of Reporting Person	Date
/S/ DAVID SHLADOVSKY BY POWER OF ATTORNEY FOR KA FUND ADVISORS, LLC	08/13/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) SHARES RECEIVED IN EXCHANGE FOR SHARES FORMERLY HELD IN KAYNE ANDERSON ENERGY DEVELOPMENT COMPANY IN CONNECTION WITH ITS REORGANIZATION INTO KYN, USING RESPECTIVE NET ASSET VALUES PER SHARE.

(2) A TOTAL OF 303,568 SHARES ARE OWND BY KAYNE ANDERSON CAPITAL ADVISORS, L.P.("KACALP.") RICHARD KAYNE IS THE MAJORITY OWNER AND CHAIRMAN OF KACALP. A TOTAL OF 11,235 SHARES ARE OWNED BY KA ASSOCIATES, INC. ("KAA.") MR. KAYNE IS THE MAJORITY OWNER OF KAA. A TOTAL OF 86 SHARES ARE OWNED BY KA FUND ADVISORS, LLC ("KAFA.") MR. KAYNE IS THE MAJORITY OWNER OF KAFA. MR. KAYNE DISCLAIMS BENEFICIAL OWNERSHIP OF ALL SHARES HELD OR CONTROLLED BY KACALP, KAA AND KAFA EXCEPT TO THE EXTENT OF HIS PECUNIARY INTEREST THEREIN.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.