

SYSCO CORP
Form 4/A
December 02, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Day William B.

(Last) (First) (Middle)
1390 ENCLAVE PARKWAY
(Street)

HOUSTON, TX 77077

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYSCO CORP [SYY]

3. Date of Earliest Transaction
(Month/Day/Year)
05/18/2016

4. If Amendment, Date Original Filed(Month/Day/Year)
05/20/2016

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	05/18/2016		S ⁽¹⁾	20,500	D	48.87 (2)	88,544 ⁽³⁾	D	
Common Stock	05/18/2016		M ⁽⁴⁾	15,400	A	\$ 27.44	103,944 ⁽³⁾	D	
Common Stock	05/18/2016		S ⁽⁴⁾	11,756	D	\$ 48.93 (5)	92,188 ⁽³⁾	D	
Common Stock	05/18/2016		M ⁽⁴⁾	71,400	A	\$ 28.87	163,588 ⁽³⁾	D	
	05/18/2016		S ⁽⁴⁾	67,937	D		95,651 ⁽³⁾	D	

Common Stock						\$			
						48.87			
						<u>(6)</u>			
Common Stock	05/18/2016		F ⁽⁷⁾	4,055	D	\$ 49.3	91,596 ⁽³⁾		D
Common Stock	05/18/2016		M ⁽⁴⁾	37,500	A	\$ 27.65	129,096 ⁽³⁾		D
						\$			
Common Stock	05/18/2016		S ⁽⁴⁾	37,500	D	48.85	91,596 ⁽³⁾		D
						<u>(8)</u>			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Day William B. 1390 ENCLAVE PARKWAY HOUSTON, TX 77077			Executive Vice President	

Signatures

Gerald W. Clanton, attorney
in fact

12/02/2016

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 11, 2016.

(2) The price reported is a weighted average sale price of the 20,500 shares sold on this date. These shares were sold in multiple transactions at prices ranging from \$48.53 to \$49.21. The Reporting Person undertakes to provide to Sysco Corporation, any security holder of Sysco Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote to this Form 4.

(3) On May 20, 2016, the reporting person filed a Form 4 that inadvertently overstated the number of shares of common stock directly beneficially owned by the reporting person in Column 5 of Table I by 34,687 shares. This amendment is being filed to correct the number of shares reported in Column 5. All of the Forms 4 filed by the reporting person between May 20, 2016 and October 4, 2016 similarly overstated the total number of shares directly beneficially owned by the reporting person in Column 5 by 34,687 shares and should be deemed amended by the filing of this amendment. As of the filing of this amendment, the reporting person directly beneficially owns 70,402 shares of common stock.

(4) The exercises and sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 2, 2016.

(5) The price reported is a weighted average sale price of the 11,756 shares sold on this date. These shares were sold in multiple transactions at prices ranging from \$48.53 to \$49.22. The Reporting Person undertakes to provide to Sysco Corporation, any security holder of Sysco Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote to this Form 4.

(6) The price reported is a weighted average sale price of the 67,937 shares sold on this date. These shares were sold in multiple transactions at prices ranging from \$48.52 to \$49.22. The Reporting Person undertakes to provide to Sysco Corporation, any security holder of Sysco Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote to this Form 4.

(7) Represents previously-owned shares having a fair market value of \$49.30 per share that were delivered by the Reporting Person in payment of the option exercise price.

(8) The price reported is a weighted average sale price of the 37,500 shares sold on this date. These shares were sold in multiple transactions at prices ranging from \$48.52 to \$49.20. The Reporting Person undertakes to provide to Sysco Corporation, any security holder of Sysco Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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